



7-25-03 41

1644

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                             |                               |              |
|---|-----------------------------|-------------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 09/714,712                    |              |
|   | <b>Filing Date</b>          | November 15, 2000             |              |
|   | <b>First Named Inventor</b> | Juergen SCHMITZ               |              |
|   | <b>Group Art Unit</b>       | 1644                          |              |
|   | <b>Examiner Name</b>        | G. Ewoldt                     |              |
| <b>Total Number of Pages in This Submission</b>   | 24 + disk                   | <b>Attorney Docket Number</b> | 212302001100 |

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| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form + duplicate for fee processing (2 pages)<br><br><input type="checkbox"/> Fee Attached<br><br><input checked="" type="checkbox"/> Amendment/Reply (2 pages)<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input checked="" type="checkbox"/> Extension of Time Request (1 page)<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)<br><br>1. Copy of Notice to Comply (3 pages)<br>2. Sequence Listing - paper format (15 pages)<br>3. Sequence Listing - Computer Readable Format (1 disk)<br>4. Return receipt postcard |
| Remarks  |   |   |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                             |       |
|--|-----------------------------|-------|
| Firm or Individual Name                    | MORRISON & FOERSTER LLP     | 25226 |
| Signature                                  | Randolph Ted Apple - 36,429 |       |
| Date                                       | July 23, 2003               |       |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 332780694 US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 23, 2003

Signature: (Anthony Soljanich)



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## FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 300.00

### Complete if Known

Application Number 09/714,712  
Filing Date November 15, 2000  
First Named Inventor Juergen SCHMITZ  
Examiner Name G. Ewoldt  
Group Art Unit 1644  
Attorney Docket No. 212302001100

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 750      | 2001         | 375      | Utility filing fee     |          |
| 1002         | 330      | 2002         | 165      | Design filing fee      |          |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004         | 375      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | 0.00     |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid    |
|--------------------|--------------|----------------|-------------|
| 56                 | -56** = 0    | 18             | = 0.00      |
| Independent Claims | 1            | -3** = 0       | x 84 = 0.00 |
| Multiple Dependent |              | 280            | = 0.00      |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                          |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                      |
| 1204         | 84       | 2204         | 42       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity   |          | Small Entity |          | Fee Description  | Fee Paid |
|--|----------|--------------|----------|--|----------|
| Fee Code   | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051   | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052   | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053   | 130      | 1053         | 130      | Non-English specification  |          |
| 1812   | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804   | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805   | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251   | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252   | 410      | 2252         | 205      | Extension for reply within second month                                    |          |
| 1253   | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254   | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255   | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401   | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402   | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403   | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451   | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452   | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453   | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501   | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502   | 470      | 2502         | 235      | Design issue fee   |          |
| 1503   | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460   | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807   | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806   | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021   | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809   | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810   | 750      | 2810         | 375      | For each additional invention to be examined (37CFR 1.129(b))              |          |
| 1801   | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802   | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) Difference of fees between a 1 month extension paid 7-21-03 and a two month extension requested herewith |          |              |          |  | 300.00   |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 300.00

### SUBMITTED BY

Name (Print/Type) Randolph Ted Apple

Registration No. 36,429  
(Attorney/Agent)

### Complete (if applicable)

Telephone (650) 813-5933

Signature

Date July 23, 2003

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|  |                              |  |
|--|------------------------------|--|
| <b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>  |                              | Docket No. (Optional)<br><b>212302001100</b> |
| In re Application of <b>Juergen SCHMITZ, et al</b>   |                              |  |
| Application Number<br><b>09/714,712</b>  |                              | Filed<br><b>November 15, 2000</b>            |
| For: <b>ANTIGEN-BINDING FRAGMENTS SPECIFIC FOR DENDRITIC CELLS, COMPOSITIONS AND METHODS OF USE THEREOF ANTIGENS RECOGNIZED THEREBY AND CELLS OBTAINED THEREBY</b> |                              |  |
| Group Art Unit<br><b>1644</b>  | Examiner<br><b>G. Ewoldt</b> |  |

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

|                                     |  |    |        |
|-------------------------------------|--|----|--------|
| <input type="checkbox"/>            | One month (37 CFR 1.17(a)(1))  | \$ |        |
| <input checked="" type="checkbox"/> | Two months (37 CFR 1.17(a)(2)) - one month previously paid 7/21/2003 | \$ | 300.00 |
| <input type="checkbox"/>            | Three months (37 CFR 1.17(a)(3))                                     | \$ |        |
| <input type="checkbox"/>            | Four months (37 CFR 1.17(a)(4))                                      | \$ |        |
| <input type="checkbox"/>            | Five months (37 CFR 1.17(a)(5))                                      | \$ |        |

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ \_\_\_\_\_

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number **03-1952**. ~~I have enclosed a duplicate copy of this sheet.~~ Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

I am the ☐ applicant/inventor.  
☐ assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  
☒ attorney or agent of record.  
☐ attorney or agent under 37 CFR 1.34(a).  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

July 23, 2003  
Date

Signature  
**Randolph Ted Apple, Reg. No. 36,429**  
Typed or Printed Name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below

☒ 1 forms are submitted.

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